

**Legal Services Corporation  
Meeting of the Audit Committee  
Open Session  
Sunday, September 30, 2012**

Chairman Victor B. Maddox convened an open session meeting of the Legal Services Corporation's ("LSC") Audit Committee ("the Committee") at 4:20 p.m. on Sunday, September 30, 2012. The meeting was held at the Hilton Durham Hotel, 3800 Hillsborough Road, Durham, North Carolina 27705.

The following Committee members were present:

Victor B. Maddox, Chairman

Harry J.F. Korrell, III

David Hoffman (Non-Director Member) (by telephone)

Paul L. Snyder (Non-Director Member) (by telephone)

John G. Levi, *ex officio*

Other Board members present:

Laurie Mikva

Julie A. Reiskin

Also attending were:

James J. Sandman	President
Rebecca Fertig	Special Assistant to the President
Victor M. Fortuno	Vice President for Legal Affairs, General Counsel and Corporate Secretary
Mark Freedman	Senior Assistant General Counsel, Office of Legal Affairs
Lynn Jennings	Vice President for Grants Management
David L. Richardson	Comptroller and Treasurer
Jeffrey E. Schanz	Inspector General
Laurie Tarantowicz	Assistant Inspector General and Legal Counsel, Office of the Inspector General
Matthew Glover	Associate Counsel, Office of the Inspector General
David Maddox	Assistant Inspector General for Management and Evaluation, Office of the Inspector General
Ronald "Dutch" Merryman	Assistant Inspector General for Audit, Office of the Inspector General
Carol Bergman	Director, Office of Government Relations and Public Affairs (GRPA)
Carl Rauscher	Director of Media Relations, GRPA
Marcos Navarro	Design Director, GRPA
Janet LaBella	Director, Office of Program Performance

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Allan Tanenbaum  
Chuck Greenfield  
Terry Brooks

Non-Director Member, LSC Finance Committee  
National Legal Aid and Defender Association (NLADA)  
American Bar Association

The following summarizes actions taken by, and presentations made to, the Committee:  
Chairman Maddox called the open session meeting of the Committee to order.

**MOTION**

Mr. Korrell moved to approve the agenda. Mr. Snyder seconded the motion.

**VOTE**

The motion passed by voice vote.

**MOTION**

Mr. Snyder moved to approve the minutes of the Committee's June 25, 2012 meeting, as amended. Mr. Korrell seconded the motion.

**VOTE**

The motion passed by voice vote.

Chairman Maddox led the discussion on the latest version of the revised Committee charter, which reflected comments from the Committee's June 25, 2012 meeting, as well as those from the Office of Inspector General. Committee members' questions were answered by Mr. Fortuno, Inspector General Schanz, and Mr. Merryman.

**MOTION**

Mr. Korrell moved to recommend to the Board the adoption of the Committee charter, as revised during the meeting. Mr. Snyder seconded the motion.

**VOTE**

The motion passed by voice vote.

Inspector General Schanz briefed the Committee on the peer review of the Securities Exchange Commission conducted by the OIG pursuant to the Inspector General Act. Following, Mr. Merryman briefed the Committee on the internal audit and the Quality Control Reviews ("QCR") processes and answered Committee members' questions

Chairman Maddox invited public comment and received none. There was no other business to consider.

Mr. Snyder suggested that, pending adoption of the revised charter, the Committee align its agendas with the revised charter to ensure that the Committee is accomplishing its responsibilities throughout the year.

**MOTION**

Mr. Korrell moved to adjourn the meeting. Mr. Snyder seconded the motion.

**VOTE**

The motion passed by voice vote.

The open session meeting of the Committee adjourned at 6:11 p.m.

*I hereby certify that this is a true and correct copy of the minutes adopted by the Legal Services Corporation's Audit Committee on January 26, 2013.*

A handwritten signature in black ink, appearing to read "Victor M. Fortuno", written over a horizontal line.

**Victor M. Fortuno**

Vice President for Legal Affairs,  
General Counsel & Corporate Secretary