

**Legal Services Corporation  
Meeting of the Audit Committee**

**Open Session**

**Monday, April 13, 2015**

Chairman Victor B. Maddox convened an open session meeting of the Legal Services Corporation's ("LSC") Audit Committee ("the Committee") at 1:37 p.m. on Monday, April 13, 2015. The meeting was held at the F. William McCalpin Conference Center, Legal Services Corporation Headquarters, 3333 K Street, NW, Washington, DC 20007.

The following Committee members were in attendance:

Victor B. Maddox, Chairman  
Harry J. F. Korrell, III  
Gloria Valencia-Weber  
David Hoffman, Non-Director Member (by telephone)  
John G. Levi, *ex officio*

Other Board members present:

Charles N. W. Keckler  
Father Pius Pietrzyk, O.P.  
Laurie Mikva  
Martha L. Minow  
Julie A. Reiskin

Also in attendance were:

James Sandman	President
Rebecca Fertig Cohen	Special Assistant to the President
Lynn Jennings	Vice President for Grants Management
Patrick Malloy	Grants Management/Legislative Fellow, Executive Office
Ronald S. Flagg	Vice President for Legal Affairs, General Counsel & Corporate Secretary
Stefanie Davis	Assistant General Counsel, Office of Legal Affairs
Mark Freedman	Senior Assistant General Counsel, Office of Legal Affairs,
David L. Richardson	Treasurer and Comptroller, Office of Financial and Administrative Services
Wendy Rhein	Chief Development Officer
Traci Higgins	Director, Office of Human Resources
Jeffrey E. Schanz	Inspector General

David Maddox	Assistant Inspector General for Management and Evaluation, Office of the Inspector General (OIG)
Laurie Tarantowicz	Assistant Inspector General and Legal Counsel, Office of the Inspector General (OIG)
John Seeba	Assistant Inspector General for Audit, Office of the Inspector General (OIG), by telephone
Daniel O'Rourke	Assistant Inspector General for Investigations, Office of the Inspector General (OIG)
Joel Gallay	Special Counsel to the Inspector General, Office of the Inspector General (OIG)
Daniel Sheahan	Program Evaluation Analyst, Office of the Inspector General (OIG)
Magali Khalkho	Director of Management Operations, Office of the Inspector General (OIG)
Roxanne Caruso	Office of the Inspector General (OIG)
Carol Bergman	Director, Office of Government Relations and Public Affairs (GRPA)
Carl Rauscher	Director of Media Relations, Office of Government Relations and Public Affairs (GRPA)
Janet LaBella	Director, Office of Program Performance (OPP)
Lora M. Rath	Director, Office of Compliance and Enforcement (OCE)
Megan Lacchini	Deputy Director, Office of Compliance and Enforcement (OCE)
Lisa Watson	Deputy Director for Fiscal Compliance and Enforcement (OCE)
Shila Mashhadishafie	Program Counsel, Office of Compliance and Enforcement (OCE)
William Carl Isler	Program Counsel, Office of Compliance and Enforcement (OCE)
Kia Ashley	Program Counsel, Office of Compliance and Enforcement (OCE)
Helga Merz-Hafezi	Fiscal Compliance Specialist, Office of Compliance and Enforcement (OCE)
Shanda Gottlieb	Fiscal Compliance Specialist, Office of Compliance and Enforcement (OCE)
Thomas Enright	Fiscal Compliance Specialist, Office of Compliance and Enforcement (OCE)
Janice Fontell	Office of Compliance and Enforcement (OCE)
Chinnamma Mathew	Administrative Assistant, Office of Compliance and Enforcement (OCE)
Robert Henley	Non-Director Member, Finance Committee
Herbert Garten	Non-Director Member, Institutional Advancement Committee
Frank Strickland	Non-Director Member, Institutional Advancement Committee
Peter Campbell	Chief Information Officer, Office of Technology (OIT)
Eric Jones	Network Engineer, Office of Technology (OIT)
Terry Brooks	American Bar Association Standing Committee on Legal Aid and Indigent Defendants (SCLAID)
Robin C. Murphy	National Legal Aid and Defender Association (NLADA)
Dominique Martin	Law99.com

The following summarizes actions taken by and presentations made to the Committee:

Committee Chairman Maddox called the meeting to order.

**MOTION**

Mr. Korrell moved to approve the agenda. Professor Valencia-Weber seconded the motion.

**VOTE**

The motion was approved by voice vote.

**MOTION**

Professor Valencia-Weber moved to approve the minutes of the Committee's meeting of January 22, 2015. Mr. Korrell seconded the motion.

**VOTE**

The motion passed by voice vote.

Mr. Schanz and Mr. Seeba briefed the Committee on the recent Independent Public Accountants (IPA) report the Office of the Inspector General (OIG) sent to the Board. Committee Chair Maddox requested going forward Mr. Schanz provide an executive summary in transmitting such reports to the Board. Mr. Schanz and Mr. Seeba answered Committee members' questions.

Mr. Flagg presented the revised LSC Risk Management matrix to the Committee.

Ms. Rath provided a briefing on OCE's follow-up of referrals from the OIG regarding audit reports and the annual independent public accountants' audits of grantees. Ms. Rath answered Committee members' questions.

Committee Chairman Maddox noted the memo regarding the 403(b) Thrift Plan from Ms. Higgins for the Committee to review.

Committee Chairman Maddox invited public comment and received none.

Other business to consider, Committee Chairman Maddox requested Audit Committee members evaluate the current Committee charter, and have suggestions by the July meeting on how to better implement the charter.

**MOTION**

Professor Valencia-Weber moved to adjourn meeting. Mr. Korrell seconded the motion.

**VOTE**

The motion passed by voice vote.

The Committee meeting adjourned at 2:36 p.m.

*I hereby certify that this is a true and correct copy of the minutes adopted by the Legal Services Corporation's Audit Committee on July 16, 2015.*



**Ronald S. Flagg**

Vice President for Legal Affairs,  
General Counsel & Corporate Secretary