

**Legal Services Corporation  
Meeting of the Audit Committee**

**Open Session**

**Thursday, July 16, 2015**

Chairman Victor B. Maddox convened an open session meeting of the Legal Services Corporation's ("LSC") Audit Committee ("the Committee") at 3:16 p.m. on Thursday, July 16, 2015. The meeting was held at the Radisson Blu Minneapolis Hotel, 35 South 7<sup>th</sup> Street, Minneapolis, Minnesota 55402.

The following Committee members were in attendance:

Victor B. Maddox, Chairman  
Gloria Valencia-Weber  
David Hoffman, Non-Director Member  
Paul Snyder, Non-Director Member (by telephone)  
John G. Levi, *ex officio*

Other Board members present:

Robert J. Grey, Jr.  
Charles N. W. Keckler  
Father Pius Pietrzyk, O.P.  
Laurie Mikva  
Martha L. Minow  
Julie A. Reiskin

Also in attendance were:

James Sandman	President
Rebecca Fertig Cohen	Chief of Staff
Lynn Jennings	Vice President for Grants Management
Patrick Malloy	Special Assistant to the President and Vice President of Grants Management
Ronald S. Flagg	Vice President for Legal Affairs, General Counsel & Corporate Secretary
Stefanie Davis	Assistant General Counsel, Office of Legal Affairs
David L. Richardson	Treasurer and Comptroller, Office of Financial and Administrative Services
Wendy Rhein	Chief Development Officer
Jeffrey E. Schanz	Inspector General

David Maddox	Assistant Inspector General for Management and Evaluation, Office of the Inspector General (OIG)
John Seeba	Assistant Inspector General for Audit, Office of the Inspector General (OIG)
Daniel O'Rourke	Assistant Inspector General for Investigations, Office of the Inspector General (OIG)
Tom Hester	Associate Counsel to the Inspector General, Office of the Inspector General (OIG)
Bernie Brady	Legal Services Corporation Travel Coordinator
Carol Bergman	Director, Office of Government Relations and Public Affairs (GRPA)
Janet LaBella	Director, Office of Program Performance (OPP)
Lora M. Rath	Director, Office of Compliance and Enforcement (OCE)
Allan J. Tanenbaum	Non-Director Member, Finance Committee
Robert Henley	Non-Director Member, Finance Committee
Herbert Garten	Non-Director Member, Institutional Advancement Committee
Frank Strickland	Non-Director Member, Institutional Advancement Committee
Jessie Nicholson	Executive Director, Southern Minnesota Regional Legal Services
Jean Lastine	Executive Director, Central Minnesota Legal Services
Terry Brooks	American Bar Association Standing Committee on Legal Aid and Indigent Defendants (SCLAID)
Robin C. Murphy	National Legal Aid and Defender Association (NLADA)
Don Saunders	National Legal Aid and Defender Association (NLADA)

The following summarizes actions taken by and presentations made to the Committee:

Committee Chairman Maddox called the meeting to order.

**MOTION**

Mr. Levi moved to approve the agenda. Professor Valencia-Weber seconded the motion.

**VOTE**

The motion was approved by voice vote.

**MOTION**

Mr. Hoffman moved to approve the minutes of the Committee's meeting of April 13, 2015. Professor Valencia-Weber seconded the motion.

**VOTE**

The motion passed by voice vote.

Chairman Maddox and the Committee members reviewed and discuss ways to better implement the Audit Committee charter.

Mr. Schanz briefed the Committee on the OIG's internal control reports which now comes with a synopsis, and is distributed to each grantee board chair. He also discussed OIG's annual work plan, strategic plan and updated internet. Mr. Schanz answered Committee members' questions.

Mr. Flagg briefed the Committee on the LSC Risk Management matrix.

Ms. Rath provided a briefing on OCE's follow-up of referrals from the OIG regarding audit reports and the annual independent public accountants' audits of grantees. Ms. Rath and Mr. Schanz answered Committee members' questions.

Committee Chairman Maddox invited public comment and received none.

**MOTION**

Mr. Hoffman moved to adjourn meeting. Professor Valencia-Weber seconded the motion.

**VOTE**

The motion passed by voice vote.

The Committee moved into close session at 4:25 p.m.

*I hereby certify that this is a true and correct copy of the minutes adopted by the Legal Services Corporation's Audit Committee on October 4, 2015.*

  
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**Ronald S. Flagg**  
Vice President for Legal Affairs,  
General Counsel & Corporate Secretary